



#18 CPA/2615/
request #
for CPA
DUPLICATE 1.330

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

☐ DUPLICATE

8/29/02
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EQ

Address to:
**Assistant Commissioner for Patents
Box CPA
Washington, DC 20231**

Attorney Docket No. 0039-7513-2S
First Inventor Hideo ANDO, et al.
Group Art Unit 2615
Examiner Nguyen Huy Thanh

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d)
(continued prosecution application (CPA)) of prior application number 09/482,085
filed on January 13, 2000 entitled **DIGITAL VIDEO RECORDING SYSTEM AND ITS RECORDING MEDIUM**

1. ☐ Enter the unentered amendment previously filed under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4)
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

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AUG 19 2002

Technology Center 2600

| CLAIMS | NUMBER FILED | | | NUMBER EXTRA | RATE | CALCULATIONS |
|-------------|--------------|--|----|-----------------------------|-----------|--------------|
| TOTAL | 25 | MINUS | 20 | 5 | x \$18 = | \$90.00 |
| INDEPENDENT | 13 | MINUS | 3 | 10 | x \$84 = | \$840.00 |
| | | <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS | | | + \$280 = | \$0.00 |
| | | | | BASIC FEE | | \$740.00 |
| | | | | TOTAL OF ABOVE CALCULATIONS | | \$1,670.00 |
| | | <input type="checkbox"/> Reduction by 50% for filing by Small Entity | | | | \$0.00 |
| | | | | TOTAL | | \$1,670.00 |

6. Small Entity Status:

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 for the papers being filed herewith and for which no check is enclosed, or credit any overpayment to Deposit Account No. 15-0030.
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time in the parent case. Any fees required for such an extension of time may be charged to Deposit Account No. 15-0030.
8. ☒ A check in the amount of **\$1,670.00** is enclosed.
9. ☐ Other:

08/15/2002 SSITHIB1 00000002 09482085

01 FC:131 740.00 OP
02 FC:102 840.00 OP
03 FC:103 90.00 OP



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Respectfully submitted,

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Commissioner for Patents
Washington, DC 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/482,085 | 01/13/2000 | 2615 | 2270 | 0039-7513- 2S | 30 | 13 | 7 |

CONFIRMATION NO. 6528

22850

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CORRECTED FILING RECEIPT



OC000000009780503

Date Mailed: 04/04/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

HIDEO ANDO, HINO-SHI, JAPAN;
KAZUYUKI UYAMA, KUMAGAYA-SHI, JAPAN;
SHINICHI KIKUCHI, YOKOHAMA-SHI, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 11-007842 01/14/1999

If Required, Foreign Filing License Granted: 02/17/2000

CPA filed on: 08/14/2002

Projected Publication Date: 07/17/2003

Non-Publication Request: No

Early Publication Request: No

Title

DIGITAL VIDEO RECORDING SYSTEM AND ITS RECORDING MEDIUM

Preliminary Class

386

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



0039-7513-2S

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

09/402,085

HIDEO ANDO ET AL.

: EXAMINER: H. Nguyen

SERIAL NO: NEW CPA APPLICATION :

CPA FILED: HERewith

: GROUP ART UNIT: 2615

FOR: DIGITAL VIDEO RECORDING SYSTEM
AND ITS RECORDING MEDIUM

CPA PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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SIR:

In response to the Office Action dated May 21, 2002, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as shown in the Attachment, in which underlining and bracketing show the changes introduced into the previously-pending claims to arrive at the clean claims provided below:

1. (Canceled)

2. (Canceled)

3. (Amended) An information medium comprising:

A) a lead-in area;

B) a lead-out area; and